



Emerging Banker & Wholesale Broker Approval Required Documents

The following list includes all of the documents and information required to become approved as an Emerging Banker & Wholesale Broker Partner with Union Home Mortgage Corp. Please upload all of these items into the appropriate folders as listed in Comerence. UHM accepts E-Signed documents.

- ___ TPO Origination Agreement
- ___ Financial Statements for Last Full Fiscal Year (*audited not required/business tax return with all schedules acceptable*)
- ___ Broker Certification and Authorization (*for principals holding 10% interest or more in company*)
- ___ Broker Compensation Plan
- ___ Broker Manual and Compliance Attestations
- ___ IRS Form W-9
- ___ Third Party Processor Notification, Addendum and Certification Forms (*if not utilizing 3rd Party Processing Company only Third Party Processor Notification Form is required*)
- ___ UHM Wholesale FHA Sponsorship Agreement (*if wishing to submit FHA loans*)
- ___ UHM Wholesale VA Agency Agreement (*if wishing to submit VA loans*)
- ___ Warehouse Verification Form
- ___ TPO Portal Request Setup Form

If you have any questions, please contact your Account Executive.